PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10/612,683

July 1, 2003

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

TRANSMITTAL

FORM		First Named Inventor	*
(to be used for all correspondence after initia	d Glina)	Art Unit	*
(to be used for all correspondence after tritta	u juingj	Examiner Name	*
Total Number of Pages in This Submission	5	Attorney Docket Number	1000.023 CON

Application Number

Filing Date

	ENC	LOSURES (check all that app	oly)
☐ Fee Transmittal Form		☐ Drawing(s)	☐ After Allowance Communication
☐ Fee Attached		☐ Licensing-related Papers	to Group
☐ Amendment/Reply		☐ Petition	Appeal Communication to Board of Appeals and Interferences
☐ After Final		Petition to Convert to a Provisional Application	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Affidavits/declaration	n(s)	☐ Power of Attorney, Revocation	☐ Proprietary Information
☐ Extension of Time Reques	t	Change of Correspondence Address	
Express Abandonment Re	quest	☐ Terminal Disclaimer	
☐ Information Disclosure Statement (SUPPLEMENTAL)		Request for Refund	○ Other Enclosure(s) (please identify below): PTO Form-1449, return postcard
☐ Certified Copy of Priority	Dogument(s)	☐ CD, Number of CD(s)	11010111111111111111111111111111111111
	. ,	☐ Landscape Table on CD	1/1
Response to Missing Parts Incomplete Application	/		
Response to Missing under 37 CFR 1.52 o		Remarks	
dilder 37 CFR 1.32 0	1 1.33	Remarks	
SIGNA	ATURE O	F APPLICANT, ATTORNEY	, OR AGENT
Firm	CONLEY F	ROSE, P. C.	
Signature	1	1121	
Printed name	GREGORY L	MAAG	
Date	August 8, 200	Reg.	No. 32,363
C	ERTIFIC	ATE OF TRANSMISSION/M	IAILING
I hereby certify that this correst Service with sufficient postag Alexandria, VA 22313-1450 o	e as first class	s mail in an envelope addressed to: Co	or deposited with the United States Postal mmissioner for Patents, P. O. Box 1450,
Typed or Printed Name	NANCI D. I		
Signature	Marie	Date Date	e August 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

L NO.: 10/612,683

GROUP ART UNIT: §

FILED:

July 1, 2003

§ §

EXAMINER:

FOR: Treatment Of Obesity By

Bilateral Vagus Nerve Stimulation

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Clt. Ref. No.: 1000.023 CON Date: August 8, 2006

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. This information is supplemental to the Information Disclosure Statement and Form PTO 1449 filed in the above-referenced case on July 28, 2006.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

Because an Office Action has been entered in this case, this Information Disclosure Statement is being filed under C.F.R. 1.97(c). Applicant hereby authorizes the Commissioner to charge Deposit Account 50-3053 of Cyberonics, Inc. the amount of \$180 so that this Information Disclosure Statement may be considered. If any additional fee is required to make this submission timely, the Commissioner is further authorized to charge any fee due to Deposit Account No. 50-3053.

Respectfully submitted,

Timothy Scott

Assistant General Counsel

Reg. No. 37931

CYBERONICS, INC.

100 Cyberonics Boulevard

Houston, Texas 77058

(281) 727-2652

ATTORNEY FOR APPLICANT



PTO/SB/08a 07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Substitute for form 1449A/PTO Complete if Known 10/612,683 Application Number INFORMATION DISCLOSURE Filing Date July 1, 2003 STATEMENT BY APPLICANT First Named Inventor Group Art Unit Examiner Name

(use as many sheets as necessary) Sheet of 2 Attorney Docket Number 1000.023 CON U.S. PATENT DOCUMENTS Document Number Examiner Cite Pages, Columns, Lines, Where Initials* Relevant Passages or Relevant Number-Kind Code 2 (if known) **Publication Date** Name of Patentee or Applicant MM-DD-YYYY of Cited Document Figures Appear US-6,908,487 AA Cigaina AB US-6,895,278 Gordon

AC		AB	03-0,893,278	Gordon
AE		AC	US-6,889,076	Cigaina
AF		AD	US-6,853,862	Marchal et al.
AG		AE	US-6,832,114	Whitehurst et al.
AH		AF	US-6,826,428	Chen et al.
AI		AG	US-6,775,573	Schuler; Eleanor L. et al.
AJ		AH	US-6,754,536	Swoyer et al.
AK US-6,615,084 Cigaina AL US-6,615,081 Boveja AM US-6,617,15 Boveja AN US-6,610,713 Tracey AO US-6,609,031 Law et al. AP US-6,609,025 Barrett; Burke T. et al. AQ US-6,606,523 Jenkins AR US-6,600,953 Flesler et al. AS US-6,5094,524 Esteller et al. AT US-6,591,137 Fischell et al. AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AW US-6,587,719 Barrett; Burke et al. AX US-6,532,388 Hill et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,432,747 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,48,348 Witte; Joachim BF US-6,216,039 </td <td></td> <td>Al</td> <td>US-6,684,104</td> <td>Gordon et al.</td>		Al	US-6,684,104	Gordon et al.
AL US-6,615,081 Boveja AM US-6,611,715 Boveja AN US-6,610,713 Tracey AO US-6,609,031 Law et al. AP US-6,609,025 Barrett; Burke T. et al. AQ US-6,606,523 Jenkins AR US-6,504,524 Esteller et al. AT US-6,594,524 Esteller et al. AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AV US-6,542,776 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhom et al. BB US-6,449,512 Boveja BB US-6,448,348 Witte; Joachim BF US-6,216,039 Bourgeois BB US-6,115,635 Bourgeois BB US-6,116,635 Bourgeois		AJ	US-6,662,053	Borkan; William N.
AM US-6,611,715 Boveja AN US-6,610,713 Tracey AO US-6,609,031 Law et al. AP US-6,609,025 Barrett; Burke T. et al. AQ US-6,600,953 Flesler et al. AR US-6,594,524 Esteller et al. AT US-6,591,137 Fischell et al. AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AW US-6,587,719 Barrett; Burke et al. AW US-6,542,776 Gordon et al. AX US-6,542,776 Gordon et al. AY US-6,532,388 Hill et al. BA US-6,532,388 Hill et al. BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BB US-6,449,512 Boveja BE US-6,18,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,		AK	US-6,615,084	Cigaina
AN US-6,610,713 Tracey AO US-6,609,031 Law et al. AP US-6,609,025 Barrett; Burke T. et al. AQ US-6,609,523 Jenkins AR US-6,600,953 Flesier et al. AS US-6,594,524 Esteller et al. AT US-6,591,137 Fischell et al. AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AW US-6,587,719 Gordon et al. AW US-6,542,176 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BB US-6,449,512 Boveja BB US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,115,635 Bourgeois BB US-6,115,635 Bourgeois BB US-6,115,635 Bourgeois BB US-6,115,635 Bourgeois		AL	US-6,615,081	Boveja
AO		AM	US-6,611,715	Boveja
AP		AN	US-6,610,713	Tracey
AQ		AO	US-6,609,031	Law et al.
AR US-6,600,953 Flesler et al. AS US-6,594,524 Esteller et al. AT US-6,591,137 Fischell et al. AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AW US-6,564,101 Zikria AX US-6,542,776 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,48,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AP	US-6,609,025	Barrett; Burke T. et al.
AS US-6,594,524 Esteller et al. AT US-6,591,137 Fischell et al. AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AW US-6,564,101 Zikria AX US-6,542,776 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,221,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AQ	US-6,606,523	Jenkins
AT US-6,591,137 Fischell et al. AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AW US-6,564,101 Zikria AX US-6,542,776 Gordon et al. AY US-6,532,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,449,512 Boveja BF US-6,327,503 Familoni BG US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AR	US-6,600,953	Flesler et al.
AU US-6,587,726 Lurie et al. AV US-6,587,719 Barrett; Burke et al. AW US-6,564,101 Zikria AX US-6,542,776 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AS	US-6,594,524	Esteller et al.
AV US-6,587,719 Barrett; Burke et al. AW US-6,564,101 Zikria AX US-6,542,776 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AT	US-6,591,137	Fischell et al.
AW US-6,564,101 Zikria AX US-6,542,776 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AU	US-6,587,726	Lurie et al.
AX US-6,542,776 Gordon et al. AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AV	US-6,587,719	Barrett; Burke et al.
AY US-6,535,764 Imran et al. AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AW	US-6,564,101	. Zikria
AZ US-6,532,388 Hill et al. BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AX	US-6,542,776	Gordon et al.
BA US-6,510,332 Greenstein BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AY	US-6,535,764	Imran et al.
BB US-6,477,417 Levine; Paul A. BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		AZ	US-6,532,388	Hill et al.
BC US-6,473,653 Schallhorn et al. BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		BA	US-6,510,332	Greenstein
BD US-6,449,512 Boveja BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		BB	US-6,477,417	Levine; Paul A.
BE US-6,418,348 Witte; Joachim BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		BC	US-6,473,653	Schallhorn et al.
BF US-6,327,503 Familoni BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		BD	US-6,449,512	Boveja
BG US-6,321,124 Cigaina BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		BE	US-6,418,348	Witte; Joachim
BH US-6,216,039 Bourgeois BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		BF	US-6,327,503	Familoni
BI US-6,129,685 Howard BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois		BG	US-6,321,124	Cigaina
BJ US-6,115,635 Bourgeois BK US-6,104,955 Bourgeois	,	ВН	US-6,216,039	Bourgeois
BK US-6,104,955 Bourgeois		BI	US-6,129,685	Howard
		BJ	US-6,115,635	Bourgeois
BL US-6,102,922 Jakobsson et al.		BK		
		BL	US-6,102,922	Jakobsson et al.

Substitute for form 1449A/PTO			Complete if Known						
INFORMATION DISCLOSURE				Application	on Number	10/612.683			
				Filing Da		July 1, 2003			
STATEMENT BY APPLICANT					ANT		ed Inventor	THE STATE OF THE S	
(use as many sheets as necessary) Sheet 2 of 2					Group Art Unit				
				Examiner Name Attorney Docket Number		1000.023 CON			
								<u>-</u>	
Examiner	Cite	Document Number		- - 				Pages, Columns, Lines, Where	
nitials*	No.1	Number-k	Kind Co	de ² (if know	n) Publ	ication Date -DD-YYYY	Name of Patente of Cited Do		Relevant Passages or Relevant Figures Appear
	ВМ	U:	S-6,09	7,984			Doug	las	
	BN	U	S-6,09	2,528			Edwa	rds	
	ВО	U:	S-6,09	1,992			Bourgeoi	is et al.	
	BP	U	S-6,08	3,249			Famil	oni	
	BQ	U	S-6,04	4,846			Edwa	ırds	
	BR			1,258			Cigaina	et al.	
	BS			6,326			Baro		
	вт			9,877			Edwa		
	BU			5,872			Bourg		
	BV			9,216		_,_	- Houben		
	BW			1,014			Famil		
	BX			6,994			Bourg		
	BY			2,210			Wamubi		
	BZ			1,903			Jakobs		
	CA		S-5,69				Chen e		· · · · · · · · · · · · · · · · · · ·
	CB			1,604			Vinc		
	CC			0,734			Zabara;		
	CD			0,730			Terry, Jr.; Re		
	CE			4,018			Wahlstrand; Jo		
	CF			4,175			Kim e		
	CG			3,872			Ciga		
	СН			1,528			Miller; Lesl		- · · · · - ·
	CI			3,480			Wernicke; Joac		
	CJ			1,988			Wernicke; Joac		
110.0	CK			6,429			Kuzn		
	CL			8,104		, a.	Wernicke; Joac		
	CM			4,868			Kuzn		·
	CN			3,975		-	Hafelfinger; V		<u>-</u>
	CO			3,353			Bork		
	CP			2,934			Bork		<u>, ,</u>
	CQ			2,339			Kuzmak		
	CR	U	১-4,4 5	9,989	L		Bork	an	